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Transitioning the Use of Cryptographic Algorithms and Key Lengths

Initial Public Draft

Elaine Barker Allen Roginsky

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October 2024



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1 Abstract

NIST provides cryptographic key management guidance for defining and implementing
appropriate key-management procedures, using algorithms that adequately protect sensitive
information, and planning for possible changes in the use of cryptography because of algorithm
breaks or the availability of more powerful computing techniques. This publication provides

6 guidance for transitions to the use of stronger cryptographic keys and more robust algorithms.

7 Keywords

- 8 cryptographic algorithm; digital signature; elliptic curves; encryption; entropy; extendable output
- 9 functions; hash function; key agreement; key-derivation functions; key encapsulation; key
- 10 transport; key wrapping; message authentication codes; quantum-resistant algorithms; random
- 11 bit generation; security strength; transition.

12 **Reports on Computer Systems Technology**

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23 Note to Reviewers

24 This revision proposes a) the retirement of ECB as a confidentiality mode of operation (see Sec.

25 2.2) and the use of DSA for digital signature generation (Sec. 3) and b) a schedule for the

retirement of SHA-1 and the 224-bit hash functions (Sec. 3 and 10). New sections are included

- 27 for block cipher modes, (Sec. 2.2), key generation (Sec. 4), and extendable-output functions (Sec.
- 28 12). These and other changes are listed in Appendix B.3.
- 29 Question: Does the retirement date of December 31, 2030, for the 224-bit hash functions pose 30 an unacceptable burden on implementers or users?

This draft revision also discusses the transition from a security strength of 112 bits to a 128-bit 31 security strength and to quantum-resistant algorithms for digital signatures and key 32 33 establishment. Since NIST is simultaneously working on multiple FIPS and SPs related to the 34 quantum-resistant algorithms, this draft may include references to documents that have not yet 35 been finalized, are in the process of being revised to address the availability of the quantumresistant algorithms (e.g., SP 800-57 Part 1 and SP 800-175B), or are being developed as guidance 36 37 for using them (e.g., a proposed transition schedule to the PQC algorithms). It is anticipated that 38 these documents will either be finalized and/or publicly available as drafts by the end of 2024. 39 NIST is in the process of developing a schedule for a transition to the quantum-resistant

40 algorithms. SP 800-131A will then be revised to be consistent with that guidance.

42 Call for Patent Claims

- 43 This public review includes a call for information on essential patent claims (claims whose use
- 44 would be required for compliance with the guidance or requirements in this Information
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- 65 provisions in the event of future transfers with the goal of binding each successor-in-interest.
- 66 The assurance shall also indicate that it is intended to be binding on successors-in-interest
- 67 regardless of whether such provisions are included in the relevant transfer documents.
- 68 Such statements should be addressed to sp800-131a comments@nist.gov
- 69
- 70

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126 1. Introduction

127 **1.1. Background and Purpose**

At the beginning of the 21st century, the National Institute of Standards and Technology (NIST) 128 129 began the task of providing cryptographic key-management guidance. This guidance is intended 130 to 1) encourage the specification and implementation of appropriate key-management 131 procedures, 2) use algorithms that adequately protect sensitive information, and 3) plan for 132 possible changes in the use of cryptographic algorithms, including any migration to different 133 algorithms and key lengths. The third item addresses not only the possibility of new cryptanalysis 134 but also the increasing power of classical computing technology and the emergence of quantum 135 computers.

- This third revision of Special Publication (SP) 800-131A is intended to provide details about the transitions associated with the use of cryptography by federal agencies to protect sensitive but unclassified information. The document addresses the use of algorithms and key lengths specified in Federal Information Processing Standards (FIPS) and SPs. Unless otherwise specified (e.g., by a revision number), the latest versions of specific FIPS and SPs are referenced in the
- 141 discussions.
- SP 800-131A was originally published in January 2011 and revised in 2015 and 2019. This revision
- 143 updates the transition guidance provided in the 2019 version and includes 1) the retirement of 144 the ECB mode when used for confidentiality (Sec. 2.2) and DSA for digital signature generation
- the ECB mode when used for confidentiality (Sec. 2.2) and DSA for digital signature generation (Sec. 3), 2) a schedule for the retirement of SHA-1 and the 224-bit hash functions (Sec. 3 and 10),
- and 3), and discussions about the planned transition from 112-bit security strength to 128-bit
- security strength and/or the use of quantum-resistant algorithms. New sections have been
- 148 included for block cipher modes (Sec. 2.2), key generation (Sec. 4), and extendable-output
- 149 functions (XOFs) (Sec. 12). These and other changes are listed in Appendix B.

150 **1.2. Useful Terms for Understanding this Recommendation**

151 **1.2.1. Security Strengths**

152 NIST Special Publication (SP) 800-57 Part 1 [SP 800-57] includes the definition of an estimated 153 maximum security strength (hereafter shortened to "security strength") and the association of 154 the algorithms and key lengths with these security strengths. The length of the cryptographic 155 keys is an integral part of these determinations.

- 156 In [SP 800-57], the classical security strength provided by an algorithm with a particular key
- 157 length¹ is measured in bits and based on the difficulty of subverting the cryptographic protection
- 158 that is provided by the algorithm and key. An estimated security strength for each algorithm is

¹ The term "key size" is commonly used in other documents.

- provided in [SP 800-57] and the FIPS 140 Implementation Guide [FIPS 140 IG] Annex D.B. This is
- 160 the security strength that an algorithm with a particular key length can provide, given that the
- 161 key used with that algorithm is correctly generated.²
- 162 The appropriate (classical) security strength to protect data depends on its sensitivity and needs
- to be determined by the data owner (e.g., a person or organization). For the Federal Government,
- a security strength of at least 112 bits is currently required for applying cryptographic protection
- 165 (e.g., for encrypting or signing data). Section 1.2.3 discusses the proposed strategy used in this
- 166 document for a transition from the 112-bit security strength.

167 **1.2.2. Definition of Status Approval Terms**

The terms "acceptable," "deprecated, "disallowed," and "legacy use" are used throughout this recommendation to indicate the approval status of an algorithm. Often, the approval status for an algorithm will also depend on the length and/or strength of its key, any domain parameters, and the mode or manner in which it is used.

- Acceptable means that the algorithm and key length/strength in a FIPS or SP are approved for use in accordance with any associated guidance. The FIPS 140 Implementation Guide [FIPS_140_IG] may indicate additional acceptable algorithms that are allowed for use but are not specified in a FIPS or NIST recommendation.
- Deprecated means that the algorithm and key length/strength may be used, but there is some security risk. The data owner must examine this risk potential and decide whether to use a deprecated algorithm or key length.
- Disallowed means that the algorithm, key length/strength, parameter set, or scheme is no longer allowed for the stated purpose.
- Legacy use means that the algorithm, key length/strength, parameter set, or scheme may only be used to process already protected information (e.g., to decrypt ciphertext data or to verify a digital signature). By default, applications should treat data processed in this way as having no more assurance of integrity and/or confidentiality than unprotected data. User interfaces should clearly distinguish between data processed via legacy-use cryptography and data processed using cryptography that remains acceptable.
- 187The relevant risks associated with legacy use differ depending on the188type of cryptography. The risk of a loss of confidentiality due to the use189of weak encryption exists whether an authorized user has decrypted the190data or not. Therefore, restricting the application of legacy-use191decryption is not an effective risk management strategy. Instead, risk192management should rely on informing the user that a loss of

² The term "security strength" refers to the classical security strength — a measure of the difficulty of subverting the cryptographic protection (e.g., discovering the key) using classical computers. For a discussion of quantum security strength (i.e., the difficulty of subverting the protection using quantum computers), see [NIST IR 8413].

- 193confidentiality has occurred and either revoking or replacing any secrets194that may have suffered a loss of confidentiality.
- 195In contrast, the primary risk for signatures is that an authorization196decision may be made based on trusting the result of verifying a weak197signature. This can be mitigated by treating the signature as invalid,198regardless of the result of any verification. In most cases, applications199should not trust a signature verified via legacy-use cryptography without200displaying a warning message.
- 201A possible case where the result of legacy-use verification may be trusted202is when obtaining assurance (e.g., via local log files) that the signature203was not altered before the status of the verification algorithm changed204to legacy use. Even in this case, the level of risk incurred depends205significantly on the level of assurance provided by cryptographic and non-206cryptographic protections on the relevant log files.

The use of algorithms and key lengths/strengths for which the terms **deprecated** and **legacy use** are listed involve some risk that increases over time.³ If it is determined that the risk is unacceptable for a given application, then the algorithm or key length/strength **shall** be considered **disallowed** for that application. The level of risk that can be tolerated for an application and its associated data must be determined, and methods for mitigating those risks must be defined.

This document uses the terms **acceptable**, **deprecated**, and **disallowed** as the approval status for applying cryptographic protection (e.g., encrypting data or generating a MAC or digital signature). The terms **acceptable** and **legacy use** are used as the approval status for processing already protected information (e.g., decryption or MAC or digital signature verification). When **acceptable** or **deprecated** is used as the status for applying protection, **acceptable** is used for processing already protected information. When **disallowed** is used for the status of applying protection, the **legacy use** status applies to the processing of already protected information.

220 **1.2.3.** Transition Strategy from the **112-Bit Security Strength**

221 NIST recognizes that large-scale quantum computers, when available, will threaten the security 222 of several NIST-approved public-key algorithms. In particular, NIST-approved digital signature 223 schemes, key agreements using Diffie-Hellman and MQV, and key agreements and key transport 224 using RSA will need to be replaced with secure quantum-resistant counterparts. NIST has finalized 225 the initial quantum-resistant standards: [FIPS 203], [FIPS 204], and [FIPS 205]. Additional 226 standards are expected in the future. NIST encourages implementers to plan for cryptographic 227 agility to facilitate transitions to quantum-resistant algorithms where needed. Information on the 228 post-quantum project is available at https://csrc.nist.gov/projects/post-quantum-cryptography.

³ For example, a signature that was purportedly created when the algorithm was deemed **acceptable** is verified after the algorithm is declared to only be allowed for **legacy use**, and the actual time when the signature was generated cannot be verified.

229 For several years, the plan has been to transition from the 112-bit security strength to a 128-bit

security strength on January 1, 2031. However, since quantum-resistant digital signature and key-

encapsulation mechanisms are now standardized, this revision of SP 800-131A is modifying the

- transition schedule as follows:
- Transition to the 128-bit security strength for block ciphers and hash functions (for collision resistance) on January 1, 2031, as planned. TDEA is disallowed as of 2024 (see Sec. 2). This revision of SP 800-131A also deprecates SHA-1 and the 224-bit hash functions through December 31, 2030, and disallows them thereafter for applying cryptographic protection (see Sec. 11).
- 238 Deprecate the use of the 112-bit security strength for the classical digital signature and 239 key-establishment mechanisms after December 31, 2030 (rather than requiring a 240 transition to the 128-bit security strength). Instead of a two-step transition from a 112-241 bit security strength to a 128-bit security strength and ultimately to the approved 242 quantum-resistant algorithms, this revision is proposing a one-step approach whereby 243 the quantum-resistant algorithms are implemented and available as soon as feasible. 244 Currently, a 112-bit security strength for the classical digital signature and key-245 establishment algorithms does not appear to be in imminent danger of becoming 246 insecure in the near future, so this approach should allow an orderly transition to 247 quantum-resistant algorithms without unnecessary effort for the cryptographic 248 community.
- NIST is developing a schedule for transitioning to the quantum-resistant algorithms
 discussed in Sec. 3, 6, and 7.

251 If attacks against 112-bit security strength for digital signature and key establishment become 252 viable, a transition to the 128-bit security strength will be required. Prudent implementers and 253 users should consider transitioning to the 128-bit security strength as originally planned.

254 **2. Data Encryption and Decryption Using Block Cipher Algorithms**

- Encryption is a cryptographic operation that is used to provide confidentiality for sensitive information, and decryption is the inverse operation. Encryption and decryption using block cipher algorithms employ a *cryptographic primitive* algorithm with a *mode of operation*. This section addresses the encryption and decryption of data using block cipher algorithms (Sec. 2.1)
- and the modes of operation that may be used to provide confidentiality for that data (Sec. 2.2).
- 260 Some of the modes may also provide data authentication.

261 **2.1. Block Cipher Cryptographic Primitive Algorithms**

- Since 2004, two block cipher primitive algorithms have been **approved** for use by the FederalGovernment for unclassified applications:
- AES is specified in FIPS 197, Advanced Encryption Standard (AES) [FIPS 197], and has three key lengths/strengths: 128, 192, and 256 bits.
- The Triple Data Encryption Algorithm (TDEA) (often referred to as "Triple DES") is specified in SP 800-67r2, *Recommendation for the Triple Data Encryption Algorithm* (*TDEA*) *Block Cipher* [SP 800-67], and has two variants known as two-key TDEA and three-key TDEA. Three-key TDEA is the stronger of the two variants.
- 270 Table 1 provides the approval status of the block cipher primitive algorithms. These algorithms
- are also used for purposes other than data encryption and decryption (see Sec. 5, 8, 9, and 12).
- 272

Table 1. Approval status of block cipher algorithms for encryption and decryption

Algorithm	Status
TDEA Encryption	Disallowed
TDEA Decryption	Legacy use
AES-128 Encryption and Decryption	Acceptable
AES-192 Encryption and Decryption	Acceptable
AES-256 Encryption and Decryption	Acceptable

- 273 TDEA:
- Encryption using TDEA is **disallowed**.
- Decryption using TDEA is allowed for **legacy use**.
- 276 AES:
- 277 Encryption and decryption using AES with 128, 192, and 256-bit keys are **acceptable**.⁴

⁴ Even with the impact of quantum computers, AES-128, AES-192, and AES-256 will remain secure for the foreseeable future. See Appendix A for further discussion.

278 **2.2. Block Cipher Modes of Operation for Encryption and Decryption**

- Encryption and decryption using block cipher algorithms require the use of modes of operation 279 280 to perform successive encryption or decryption processes on data, which in turn require multiple 281 calls to the primitive algorithm. [SP 800-38A] and a separately published addendum [SP 800-282 38A addendum] specify modes that are only used to perform encryption and decryption on the 283 input data. [SP 800-38C] and [SP 800-38D] specify authenticated encryption modes and are used 284 to both encrypt/decrypt data and provide a method for determining the authenticity of data 285 processed by the mode. [SP 800-38E] specifies the encryption and decryption of data for storage 286 devices with fixed-length data units. [SP 800-38G] specifies the use of encryption and decryption 287 that preserve the format of the original unencrypted data.
- The approval status of these modes for block-cipher encryption and decryption is provided in Table 2.
- 290
- 291

Publication Mode		Status
	ECB	Disallowed for data encryption Legacy use for decryption
	CBC	Acceptable
SP 800-38A	CFB	Acceptable
	CTR	Acceptable
	OFB	Acceptable
	CBC-CS1	
SP 800-38A	CBC-CS2	Acceptable (will be incorporated into SP 800-38A)
Addendum	CBC-CS3	
SP 800-38C CCM Accept		Acceptable
SP 800-38D	GCM	Acceptable
SP 800-38E	XTS-AES	Acceptable
	FF1	Acceptable (domain size of at least one million)
SP 800-38G		Disallowed (domain size of less than one million)
	FF3	Disallowed

Table 2. Approval status of the block cipher modes of operation for AES encryption anddecryption

[SP 800-38A] includes modes of encryption/decryption for use with AES and TDEA (see Sec. 2.1for the approval status of these algorithms):

The ECB mode is **disallowed** for encrypting secret data but is allowed for **legacy use** (i.e., to decrypt data that has been encrypted prior to the publication of this revision of SP 800-131A). However, NIST Internal Report (IR) 8459 discusses applications for which the ECB mode remains **acceptable** for non-confidentiality purposes (i.e., challenge-response protocols and initialization vector [IV] generation) [NIST IR 8459].

CBC (including CBC-CS1, CBC-CS2, and CBC-CS3, defined in [SP 800-38A_addendum]), CFB,
CTR, and OFB are acceptable.

SP 800-38C], [SP 800-38D], and [SP 800-38E] specify, correspondingly, the CCM, GCM, and XTS AES modes for block ciphers with a block size of 128 bits (i.e., using AES):

303 The use of the CCM, GCM, and XTS-AES modes is **acceptable** when used as specified in SP

304 800-38C, SP 800-38D, and SP 800-38E, respectively. In addition, an implementation of the 305 AES GCM mode **shall** comply with one of the scenarios defined in the FIPS 140

306 Implementation Guide, Annex C.H [FIPS 140 IG].

- 307 [SP 800-38G] specifies two modes for format-preserving encryption and decryption:
- FF1: The FF1 mode is acceptable when used as specified in SP 800-38G with the additional restriction that the domain size be at least one million. The use of FF1 with a domain size of less than one million is disallowed.
- 311 2. **FF3:** The use of FF3, as currently specified in SP 800-38G, is **disallowed**.⁵
- 312

⁵ SP 800-38G will be revised to remove FF3.

313 **3. Digital Signatures**

314 Digital signatures provide assurance of origin authentication and data integrity. These assurances

can be extended to provide assurance that the signatory cannot effectively deny signing a
 document, which is commonly known as non-repudiation. The digital signature algorithms are
 specified in [FIPS 186], [FIPS 204], [FIPS 205], and [SP 800-208].

The security strength estimated for a digital signature algorithm depends on the hash function used, the key length/strength and method used for key generation, and any other parameters used during the digital signature process.

- DSA: DSA keys are generated and used with domain parameters *p*, *q*, and *g*. The security strength that the algorithm can provide depends on the bit lengths of *p* (*L*) and *q* (*N*) and the proper generation of the domain parameters used. The specification for DSA is not included in the current version of FIPS 186 (i.e., [FIPS 186-5]). However, DSA is specified in the previous version (i.e., [FIPS 186-4]).
- Elliptic Curve-based Digital Signatures (ECDSA and EdDSA): Keys are generated and used
 with respect to domain parameters that define elliptic curves. The length of *n* (i.e., the
 domain parameter that specifies the order of the base point *G*) is used to determine the
 security strength that can be provided by a properly generated key. ECDSA and EdDSA are
 specified in FIPS 186.
- **RSA:** RSA keys are generated with respect to a modulus *n*, which is used to determine the
 security strength that can be provided by a digital signature. The RSA algorithm for digital
 signatures is specified in [RFC 8017], and guidance for use is provided in FIPS 186.
- ML-DSA: ML-DSA is a lattice-based quantum-resistant digital signature algorithm that is
 specified in [FIPS 204].
- SLH-DSA: SLH-DSA is a quantum-resistant stateless hash-based digital signature algorithm
 that is specified in [FIPS 205].
- Stateful hash-based signatures: The LMS, HSS, XMSS, and XMSS^{MT} quantum-resistant digital signature algorithms are specified in [SP 800-208].

The security strength provided by a digital signature generation process is no greater than the minimum of 1) the security strength that the digital signature algorithm can support with a given parameter set (including the length of the key) and 2) the security strength supported by the cryptographic hash method⁶ that is used. See [SP 800-57] for the estimated security strength for a given algorithm and parameter set.

- 345 Sections 11 and 12 discuss the hash methods used during the digital signature generation and 346 verification processes: hash functions and extendable-output functions (XOFs).
- Table 3 provides the approval status of the algorithms and key lengths used for the generation and verification of digital signatures in accordance with [FIPS 186], [FIPS 204], [FIPS 205], and [SP

⁶ A hash method is 1) a hash function specified in either FIPS 180 or FIPS 202 or 2) an XOF specified in FIPS 202.

- 349 800-208]. The approval status of DSA, ECDSA, EdDSA, and RSA will be impacted by the transition
- 350 to quantum-resistant digital signature algorithms. This is indicated in the table using an asterisk
- 351 (*).
- 352

Table 3. Approval status of algorithms used for digital signature generation

Digital Signature Algorithm	Criteria	Status
DSA generation	All security strengths	Disallowed
DSA verification	An security strengths	Legacy use
	< 112 bits of security strength	Disallowed
ECDSA generation	≥ 112 but < 128 bits of security strength	Acceptable through 2030 Deprecated after 2030*
	≥ 128 bits of security strength	Acceptable*
	< 112 bits of security strength	Legacy use
ECDSA verification	≥ 112 bits of security strength	Acceptable*
EdDSA generation and verification	≥ 128 bits of security strength	Acceptable*
	< 112 bits of security strength	Disallowed
RSA generation (PKCS #1 v1.5 & PSS)	≥ 112 but < 128 bits of security strength	Acceptable through 2030 Deprecated after 2030 [*]
	≥ 128 bits of security strength	Acceptable*
RSA verification	< 112 bits of security strength	Legacy use
(PKCS #1 v1.5 & PSS)	≥ 112 bits of security strength	Acceptable*
RSA generation (ASC X9.31)	All convrite strongths	Disallowed
RSA verification (ASC X9.31)	All security strengths	Legacy use
ML-DSA generation and verification	Parameter sets in FIPS 204	Acceptable
SLH-DSA	Parameter sets in FIPS 205	Acceptable

generation and verification		
LMS, HSS, XMSS, XMSS ^{MT} generation and verification	Parameter sets in SP 800-208	Acceptable

353 DSA:

355

- Signature generation:
 - Signature generation using DSA is **disallowed**.
- Signature verification:
- 357 Overification of DSA digital signatures is allowed for legacy use when using
 358 previously approved domain parameters and private keys.

359 ECDSA and EdDSA:

- Signature generation: The security strength provided by an elliptic curve signature is 1/2
 of the length of the domain parameter *n*. Recommended and deprecated elliptic curves
 for digital signature generation are provided in [SP 800-186]. Elliptic curves that meet the
 security strength requirements are also allowed when they satisfy the requirements of
 FIPS 140 Implementation Guide [FIPS 140 IG], Annex C.A.
- 365 ECDSA signature generation providing less than 112 bits of security is **disallowed**.
- 366 \circ ECDSA signature generation providing at least 112 bits of security (but less than367128 bits of security) is acceptable through December 31, 2030. For these curves,368 $224 \le len(n) < 256.$
- 369After December 31, 2030, the use of these curves and keys is **deprecated** for370digital signature generation.
- 371 \circ ECDSA and EdDSA signature generation providing at least 128 bits of security is372acceptable. These signatures shall be generated using elliptic curves and private373keys such that len(n) \geq 256.
- Signature verification:
- 375 \circ Signature verification of ECDSA digital signatures that were generated to provide376less than 112 bits of security is allowed for legacy use when using curves and377public keys such that $160 \le len(n) < 224$.
- 378 \circ Signature verification of ECDSA digital signatures that were generated to provide379at least 112 bits of security is **acceptable** using the recommended elliptic curves380included in [SP 800-186]. In this case, $len(n) \ge 224$.
- 381 \circ Signature verification of EdDSA digital signatures is acceptable using the382recommended elliptic curves included in [SP 800-186] where len(n) \geq 256. The use383of EdDSA was never approved for a security strength less than 128 bits.

- 384 o Signature verification using curves that comply with FIPS 140 Implementation
 385 Guide [FIPS140 IG], Annex C.A is allowed for legacy use.
- 386 RSA (PKCS #1 v1.5 & PSS):
- Signature generation: The security strength provided by an RSA signature depends on the bit length of the modulus *n*. The security strength associated with several values of len(*n*) is provided in [SP 800-57]. The security strength associated with other values of len(*n*) may be estimated using the formula in FIPS 140 Implementation Guide [FIPS140_IG], Annex D.B.
- 392 Signature generation providing less than 112 bits of security is **disallowed**.
- 393 \circ Signature generation providing at least 112 bits of security (but less than 128 bits394of security) is acceptable through December 31, 2030. These signatures shall be395generated using private keys and a modulus *n* such that 2048 \leq len(*n*) < 3072.</td>
- 396After December 31, 2030, the use of these moduli and keys is **deprecated** for397digital signature generation.
- 398 \circ Signature generation providing at least 128 bits of security is acceptable. These399signatures shall be generated using a modulus *n* and public keys such that len(*n*)400 \geq 3072.
- Signature verification:
- 402 \circ Signature verification using public keys providing less than 112 bits of security is403allowed for **legacy use** when the modulus *n* and the public keys are such that 1024404 \leq **len**(*n*) and **len**(*n*) is a multiple of 256.
- 405 \circ Signature verification using public keys providing at least 112 of security is406acceptable. Verification requires the use of a modulus *n* and public keys such that407len(n) \geq 2048.
- 408 RSA (ASC [X9.31]): Approved in FIPS 186-4.
- Signature generation:
 - Signature generation in accordance with ASC [X9.31] is **disallowed**.
- Signature verification:
- 412 o Signature verification of signatures generated in accordance with ASC [X9.31] is
 413 allowed for legacy use.
- 414 ML-DSA:

- Signature generation and verification are acceptable using the parameter sets listed in
 [FIPS 204].
- 417 SLH-DSA:

- Signature generation and verification are acceptable using the parameter sets listed in
 [FIPS 205].
- 420 LMS, HSS, XMSS, XMSS^{MT}:
- 421 Signature generation and verification are acceptable using the parameter sets listed in
 422 [SP 800-208].
- 423

424 **4. Cryptographic Key Generation**

425 [SP 800-133] addresses the generation of the cryptographic keys used in cryptography. The keys 426 are either 1) generated using mathematical processing on the output of **approved** random bit 427 generators (RBGs) and possibly other parameters or 2) generated based on keys that are 428 generated in this fashion. These keys **shall** be obtained directly or indirectly from the output of 429 an **approved** RBG as specified in the [SP 800-90] series and generated in accordance with 430 appropriate FIPS or NIST SPs (e.g., [SP 800-108] for key derivation from a preexisting shared key). 431 [SP 800-133] includes methods for producing a key by:

- Combining the output of an approved RBG with independently determined data of the
 same length by exclusive-oring the values and
- Combining independently generated keys with other (independently generated) keys
 and/or independently determined data by concatenation, XOR-oring, or using a specified
 key-extraction process.
- These methods for determining keys are acceptable when consistent with the requirements ofthe application for which the keys will be used.

439 **5. Random Bit Generation**

- 440 Random numbers are used for various purposes, such as the generation of keys, nonces, and
- authentication challenges. The SP 800-90 series of documents specifies methods for generatingrandom bits for these purposes.

443 **5.1. Deterministic Random Bit Generator Mechanisms (DRBGs)**

- 444 Several deterministic random bit generator (DRBG) mechanisms have been specified for use by 445 the Federal Government. [SP 800-90A] includes three DRBGs: Hash_DRBG, HMAC_DRBG, and 446 CTR_DRBG, which are specified to include either a hash function or a block cipher (e.g., AES) as 447 cryptographic primitives.
- 448 The approval status for the DRBGs and the cryptographic primitives they use is provided in Table
- 449

4.

450

Table 4. Approval status of algorithms used for random bit generation

DRBG	Crypto. Primitive	Status
	SHA-1, SHA-224, SHA-	Deprecated through 2030
Liesh DDDC and LIMAC DDDC	512/224, and SHA3-224	Disallowed after 2030
	All other SHA-2 and SHA-3	Accentable
	hash functions	Acceptable
	TDEA	Disallowed
	AES-128, AES-192, AES-256	Acceptable

451 Hash_DRBG and HMAC_DRBG:

- The use of SHA-1 or a 224-bit hash function (i.e., SHA-224, SHA-512/224, or SHA3-224) as
 the cryptographic primitive in Hash_DRBG and HMAC DRBG is deprecated through
 December 31, 2030, and disallowed after 2030.
- The use of Hash_DRBG and HMAC_DRBG is acceptable with any other SHA-2 or SHA-3
 hash function specified in [FIPS 180] or [FIPS 202] (i.e., SHA-256, SHA-384, SHA-512, SHA512/256, SHA3-256, SHA3-384, and SHA3-512).

458 CTR_DRBG:

- The use of CTR_DRBG using TDEA as the cryptographic primitive is **disallowed**.
- The use of CTR_DRBG using AES-128, AES-192, or AES-256 is acceptable.

461 **5.2. Entropy Sources**

462 Entropy sources provide entropy for RBGs. [SP 800-90B] provides guidance for designing and
463 testing entropy sources. Additional guidance related to the validation of entropy sources is
464 provided in the FIPS 140 Implementation Guide [FIPS 140 IG], Sec. 9.3.A and Annexes D.J, D.K,
465 and D.O.

466 **5.3. Random Bit Generator (RBG) Constructions**

- 467 [SP 800-90C] provides constructions for designing RBGs based on the use of entropy sources that
- are compliant with [SP 800-90B] and the DRBGs specified in [SP 800-90A]. [SP 800-90C] is
- 469 currently in draft form; the RBG constructions in [SP 800-90C] are **acceptable**.

471 6. Key Agreement Using Diffie-Hellman and MQV

- Key agreement is a technique for establishing keying material via an electronic key-agreement transaction between two entities that intend to communicate. Both parties contribute information so that neither party can predetermine the value of the resulting secret keying material independently from the contributions of the other party. The agreed-upon keys are not transmitted between the two entities.
- 477 [SP 800-56A] specifies two families of key-agreement schemes: Diffie-Hellman (DH) and Menezes-
- 478 Qu-Vanstone (MQV). Each has been defined over two different mathematical structures: finite
- 479 fields and elliptic curves.
- 480 Key agreement, as specified in [SP 800-56A], includes two steps: the use of an appropriate DH or
- 481 MQV "primitive" to generate a shared secret and the use of a key-derivation method (KDM) to
- 482 generate one or more keys from the resulting shared secret. [SP 800-56A] contains the DH and
- 483 MQV primitives and refers to [SP 800-56C] for KDMs. The approval status for these key-derivation
- 484 methods is discussed in Sec. 9.1.
- The security strength of a key-agreement scheme specified in [SP 800-56A] depends on the keyagreement algorithm, the parameters used with that algorithm (e.g., the keys), and its form (i.e.,
- 487 finite field or elliptic curve).
- Finite field DH and MQV: The keys for these algorithms are generated and used with domain parameters *p*, *q*, and *g*. The security strength that can be provided by the algorithm depends on the length of *p*, the length of *q*, and the proper generation of the domain parameters and the key.
- Elliptic Curve DH and MQV: The keys for these algorithms are generated and used with
 respect to domain parameters that define elliptic curves. The length of *n* (i.e., the order
 of the base point *G*) is used to determine the security strength that can be provided by a
 properly generated curve.
- Table 6 contains the Federal Government approval status for the DH and MQV key-agreement schemes. In some cases, a scheme is only allowed for **legacy use**; additional details below the table indicate the conditions for allowing continued use (e.g., the associated dates and parameters). The approval status of the schemes in this section will be impacted by the transition to quantum-resistant key-establishment methods. This is indicated in the table using an asterisk (*).
- 502

Table 5. Approval status for SP 800-56A key agreement (DH and MQV) schemes

Scheme	Domain Parameters	Status
	< 112 bits of security strength	Legacy use

Scheme	Domain Parameters	Status
SP 800-56A DH and MQV schemes using finite fields and	112 bits of security strength	Acceptable through 2030 Deprecated after 2030 [*]
elliptic curves	≥ 128 bits of security strength	Acceptable*
Non-conformance to SP 800-56A	Any	Legacy use

- 503 [SP 800-56A] DH and MQV schemes using finite fields:
- For key-agreement transactions providing less than 112 bits of security strength (i.e., using domain parameters where len(p) < 2048 or len(q) < 224):
- 506 The initiation of a key-agreement transaction providing less than 112 bits of security is 507 **disallowed**.
- 508 The processing of information in a key-agreement transaction is allowed for **legacy use** 509 when len(p) = 1024 or len(q) = 160. See parameter set FA in [SP 800-56Ar2].
- Key-agreement transactions providing 112 bits of security strength are acceptable
 through December 31, 2030, using the following domain parameters:
- 512 The MODP-2048 safe-prime group specified in [RFC 3526] (listed in [SP 800-56A])
- 513 The ffdhe2048 safe-prime group specified in [RFC 7919] (listed in [SP 800-56A])
- For FIPS 186-type domain parameters, (len(p), len(q)) = (2048, 224) or (2048, 256);
 these domain parameters are provided as parameter sets FB and FC in [SP 800-516
 56A]
- 517 After December 31, 2030, the use of these domain parameters is **deprecated**.
- Key-agreement transactions providing at least 128 bits of security strength are acceptable
 in the following cases:
 - The following safe-prime groups are used:

520

521

- The MODP-X safe-prime group specified in [RFC 3526] or
 - The ffdheX safe-prime group specified in [RFC 7919],
- 523 where X = 3072, 4096, 6144, or 8192 (listed in [SP 800-56A]).
- 524 [SP 800-56A] DH and MQV schemes using elliptic curves:
- For key-agreement transactions providing less than 112 bits of security strength (i.e., using curves where len(n) < 224):
- 527 Initiating a key-agreement transaction providing less than 112 bits of security strength is 528 **disallowe**d.

529 530	The processing of information in a key-agreement transaction is allowed for legacy use when len (<i>n</i>) = 160 to 223. See parameter set EA in [SP 800-56Ar2].
531 532	• Key-agreement transactions providing 112 bits of security strength are acceptable through December 31, 2030, using the following curves:
533 534	 The P-224 curve specified in [SP 800-186] (see parameter set EB in [SP 800-56A]) or
535 536	 The brainpoolP224r1 and brainpoolP224t1 curves specified in [RFC 5639] (see FIPS 140 Implementation Guide [FIPS 140_IG], Annex C.A.).
537	After December 31, 2030, the use of these curves is deprecated .
538 539	• Key-agreement transactions providing at least 128 bits of security strength using the following elliptic curves are acceptable :
540 541	 P-256, P-384, P-521, K-283, K-409, K-571, B-283, B-409, B-571, and sep256k1, as specified in [SP 800-186] (see parameter sets EC, ED, and EE in [SP 800-56A])
542 543 544 545	 The brainpool curves and twisted variants of these curves specified in [RFC 5639]: brainpoolP256r1, brainpoolP320r1, brainpoolP384r1, brainpoolP512r1, brainpoolP256t1, brainpoolP320t1, brainpoolP384t1, and brainpoolP512t1 (see [FIPS 140 IG], Annex C.A)
546	Schemes not compliant with [SP 800-56A]:
547	Initiating a key-agreement transaction using these schemes is disallowed .
548 549	Processing the information in a key-agreement transaction using these schemes is allowed for legacy use when using parameters that were previously acceptable .
550	

551 7. Key Agreement and Key Transport Using RSA

552 [SP 800-56B] specifies the use of RSA for both key-agreement and key-transport transactions. Key

agreement is a technique in which both parties contribute information to the generation of keying

554 material so that neither party can predetermine the value of the secret keying material

independently from the contributions of the other party. Two key-agreement schemes are

- 556 specified: KAS1 and KAS2. Key transport is a key-establishment technique in which only one party
- determines the key and sends it to the other party. One key-transport scheme is specified (i.e.,
- 558 RSA-OAEP), and another general hybrid method is described.
- S59 RSA keys are generated with respect to the desired bit length of a modulus *n*. The length of *n* is
- used to determine the security strength of a key-establishment scheme that uses n, assuming
- that *n* and the RSA keys are generated as specified in [SP 800-56B].⁷ that *n* and the RSA keys are generated as specified in [SP 800-56B].⁷
- 562 [SP 800-56B] provides guidance on key lengths for RSA and explicitly specifies several key lengths
- and the intended security strengths beginning with len(n) = 2048, which is estimated to support
- a security strength of 112 bits. Additional key lengths greater than 2048 bits and not explicitly
- 565 listed in [SP 800-56B] may also be used. The approximate security strength that is supported by
- a given key length may be estimated using a formula in [SP 800-56B] and Annex D.B of [FIPS 140
- 567 IG].
- Table 7 provides the approval status for the choice of len(n). The approval status of the schemes in this section will be impacted by the transition to quantum-resistant key-establishment
- 570 methods. This is indicated by an asterisk (*) in the table.
- 571

Table 6. Approval status for the RSA-based key-agreement and key-transport schemes

Scheme	Domain Parameters	Status
	< 112 bits of security strength	Legacy use
SP 800-56B Key-Agreement and Key-Transport schemes	112 bits of security strength	Acceptable through 2030 Deprecated after 2030 [*]
	≥ 128 bits of security strength	Acceptable*
Non-conformance to SP 800-56B	Any	Legacy use

- 572 [SP 800-56B] RSA key-agreement and key-transport schemes:
- For key establishment transactions providing less than 112 bits of security strength (i.e.,
 Ien(n) < 2048):
- 575 Initiating a key-establishment transaction providing less than 112 bits of security strength 576 is **disallowed**.

⁷ [SP 800-56B] refers to [FIPS 186] for generation guidance.

577 578		The processing of information in a key-establishment transaction is allowed for legacy use when len (n) = 1024 or len (q) = 160. See parameter set FA in [SP 800-56Ar2].
579 580	•	Key-establishment transactions that provide 112 bits of security strength (i.e., 2048 \leq len(n) < 3072):
581 582		Key establishment is acceptable through December 31, 2030, using the schemes specified in [SP 800-56B].
583		After December 31, 2030, key establishment using these values of len (n) is deprecated .
584 585	•	Key-establishment transactions providing at least 128 bits of security strength (i.e., len (<i>n</i>) ≥ 3072):
586		Key establishment is acceptable using the schemes specified in [SP 800-56B].
587 588	Non-[2 [FIPS 2	SP 800-56B]-compliant RSA key-establishment schemes that were previously allowed in 140 IG]:
589 590	•	Initiating a key-establishment transaction using a non-[SP 800-56B]-compliant scheme is disallowed .
591 592	•	The processing of information in a key-establishment transaction using a non-[SP 800-56B]-compliant scheme is allowed for legacy use when len (n) \ge 1024.
593		

594 8. Key Establishment Using a Key Encapsulation Mechanism (KEM)

595 [FIPS 203] specifies a quantum-resistant key encapsulation mechanism (KEM) — a set of 596 algorithms that can be used by two parties to establish a secret key over a public channel under 597 certain conditions. A key that is securely established using a KEM can then be used with 598 symmetric-key cryptographic algorithms to perform basic tasks in secure communications, such 599 as encryption and authentication.

- 600 [FIPS 203] specifies three parameter sets for a key-encapsulation mechanism (ML-KEM): ML-601 KEM-512, ML-KEM-768, and ML-KEM-1024.
- 602 The use of any of these approved KEMs is **acceptable** for establishing keying material between 603 two parties.⁸

⁸ Guidance for the use of a KEM for key establishment is under development.

604 **9. Key Derivation Methods**

605 One or more keys or other keying material may be derived using pre-established key-derivation

keys (KDKs). A KDK may be established using a key-establishment scheme (see Sec. 6 and 7) or
 manual key-distribution method or generated using an RBG (see Sec. 5) or a previous instance of

608 a key-derivation function.

609 9.1. Key-Derivation Methods in SP 800-56C

610 [SP 800-56C] provides key-derivation methods (KDMs) for key-establishment schemes in [SP 800-

56A] and [SP 800-56B] (see Sec. 6 and 7 herein, respectively). [SP 800-56C] specifies one-step

612 key-derivation functions (KDFs) and two-step key-derivation procedures. When a key-derivation

613 method is allowed for **legacy use**, other conditions specified in Sec. 6 and 7 for the key-614 establishment schemes also apply. **Approved** key derivation methods are also provided in [SP

615 800-135] for specific applications.

616 9.1.1. One-Step Key-Derivation Functions

617 One-step key-derivation functions use a hash function, as specified in [FIPS 180] or [FIPS202]; 618 HMAC, as specified in [SP 800-224]; or KMAC, as specified in [SP 800-185].

- Table 8 provides the approval status of the one-step key-derivation functions specified in [SP 800-56C].
- 621

Table 7. Approval status for the one-step KDFs in SP 800-56C

H(x)	Crypto. Primitive	Status
Hash function and HMAC	SHA-1, SHA-224, SHA-512/224, and SHA3- 224	Deprecated through 2030 Legacy use after 2030
	All other hash functions	Acceptable
КМАС	KMAC128 and KMAC256	Acceptable

- 622 H(x) is a hash function or HMAC:
- The use of SHA-1 and the 224-bit hash functions (i.e., SHA-224, SHA-512/224, or SHA3-224):
- 625 The use of these hash functions for one-step key derivation during a key-establishment 626 transaction is **deprecated** through December 31, 2030.

627 After 2030, the use of these hash functions is allowed for **legacy use** to derive keying 628 material using the information from a key-establishment transaction (also see Sec. 6 and 629 7).

- The use of all other hash functions specified in [FIPS 180] and [FIPS 202] for one-step key derivation is acceptable (i.e., SHA-256, SHA-384, SHA-512, SHA-512/256, SHA3-256, SHA3-384, and SHA3-512).
- 633 H(*x*) is KMAC:
- The use of KMAC128 and KMAC256 for one-step key derivation is **acceptable**.

635 9.1.2. Two-Step Key-Derivation Procedures

- Two-step key-derivation procedures use separate steps for randomness extraction and key
 expansion based on HMAC, as specified in [SP 800-224], or CMAC, as specified in [SP 800-38B].
- Table 9 provides the approval status of the two-step key-derivation methods specified in [SP 800-56C].
- 640

Table 8. Approval status for the two-step KDMs in SP 800-56C

MAC Algorithm	Crypto. Primitive	Status
	SHA-1, SHA-224, SHA-512/224, and SHA3-224	Deprecated through 2030 Legacy use after 2030
HMAC-nash	All other hash functions	Acceptable
AES-CMAC	AES-128, AES-192, and AES-256	Acceptable

641 HMAC-hash:

- The use of SHA-1 and the 224-bit hash functions (i.e., SHA-224, SHA-512/224, and SHA3-224) for two-step key derivation using HMAC is deprecated through December 31, 2030, and allowed for legacy use thereafter.
- The use all other hash functions specified in [FIPS 180] and [FIPS 202] for two-step key derivation using HMAC is acceptable (i.e., using SHA-256, SHA-384, SHA-512, SHA-512, SHA-512/256, SHA3-256, SHA3-384, and SHA3-512).
- 648 AES-CMAC:
- The use of AES-128, AES-192, or AES-256 as the cryptographic primitive for two-step key
 derivation using CMAC is acceptable.

651 **9.2. Key-Derivation Functions in SP 800-108**

[SP 800-108] specifies KDFs that use pseudorandom functions (PRFs) and a cryptographic key
(called a key-derivation key) to generate additional keys. Three PRFs are used in the KDFs
specified in [SP 800-108]:

- 1. HMAC, as specified in [SP 800-224], requires the use of a hash function (see Sec. 10).
- 656
 2. CMAC, as specified in [SP 800-38B], requires the use of a block cipher algorithm (e.g., AES657
 128, which is specified in [FIPS 197]).
- 658 3. KMAC, as specified in [SP 800-185].

HMAC, CMAC, and KMAC are also known as message authentication code (MAC) algorithms.
Section 13 discusses these algorithms and the keys used with them.

- Table 10 provides the approval status of the PRFs for key derivation, as specified in [SP 800-108].
- 662

Table 9. Approval status of the algorithms used for a key derivation function (KDF)

KDF Type	Crypto. Primitive	Status
	SHA-1, SHA-224, SHA-512/224, and	Deprecated through 2030
HMAC-based KDF	SHA3-224	Legacy use after 2030
	All other approved hash functions	Acceptable
CMAC-based KDF	TDEA	Legacy use
	AES-128, AES-192, and AES-256	Acceptable
KMAC-based KDF	KMAC128 and KMAC 256	Acceptable

663 HMAC-based KDF:

- The use of SHA-1 and the 224-bit hash functions (i.e., SHA-224, SHA-512/224, and SHA3-224) for key derivation using HMAC is deprecated through December 31, 2030, and allowed for legacy use thereafter.
- The use of all other hash functions specified in [FIPS 180] and [FIPS 202] for key derivation
 using HMAC is acceptable (i.e., using SHA-256, SHA-384, SHA-512, SHA-512/256, SHA3 256, SHA3-384, and SHA3-512).
- 670 CMAC-based KDF:
- The use of TDEA, as specified in [SP 800-67], is disallowed for initiating a transaction that
 uses a CMAC-based KDF for the key-derivation process.
- 673 The use of TDEA for CMAC-based key derivation is allowed for **legacy use** when processing674 a transaction.
- The use of AES for CMAC-based key derivation is **acceptable**.

- 676 KMAC-based KDF:
- For KMAC-based KDFs, the use of KMAC128 and KMAC256 (as specified in [SP 800-185])
 is acceptable.

679 **9.3. Key-Derivation in SP 800-132**

[SP 800-132] specifies a family of password-based key-derivation functions (PBKDFs) for deriving
 cryptographic keys from passwords or passphrases to protect electronically stored data or data
 protection keys. The PBKDFs require the use of a randomly generated salt of at least 128 bits, a
 minimum iteration counter of 1000, and an HMAC with an **approved** hash function.

- Table 11 provides the approval status for PBKDFs.
- 685

Table 10. Approval status of the PBKDFs

HMAC Crypto. Primitive	Status
SHA-1, SHA-224, SHA-512/224, and	Deprecated through 2030
SHA3-224	Legacy use after 2030
All other hash functions	Acceptable

- 686 Password-based key derivation using HMAC:
- The use of SHA-1 and the 224-bit hash functions (i.e., SHA-224, SHA-512/224, and SHA3 for password-based key derivation using HMAC is deprecated through December 31,
 2030, and allowed for legacy use thereafter.
- The use of all other hash functions specified in [FIPS 180] and [FIPS 202] for password-based key derivation using HMAC is acceptable (i.e., using SHA-256, SHA-384, SHA-512, SHA-512/256, SHA3-256, SHA3-384, and SHA3-512).

693 **10. Key Wrapping**

694 Key wrapping is the encryption and integrity protection of keying material using a block-cipher 695 key-wrapping algorithm and a symmetric key. **Approved** methods for key wrapping using a block 696 cipher are provided in [SP 800-38F].

[SP 800-38F] specifies three algorithms for key wrapping that use block ciphers: KW and KWP,
which use AES (as specified in [FIPS 197]); and TKW, which uses TDEA (as specified in [SP 80067]). [SP 800-38F] also approves the CCM and GCM authenticated-encryption modes specified in
[SP 800-38C] and [SP 800-38D] for key wrapping using AES, as well as combinations of an **approved** encryption mode (e.g., AES-CBC) with an **approved** authentication method (e.g., HMAC
or a digital signature).

- Table 12 provides the approval status of the block cipher algorithms that may be used for key wrapping.
- 705

Table 11. Approval status of block cipher algorithms used for key wrapping

Algorithm	Use	Status
TDEA	Key wrapping	Disallowed
(using TKW)	Key unwrapping	Legacy use
KW, KWP, CCM and GCM (using AES)	Key wrapping and unwrapping	Acceptable
The combination of an approved encryption mode and approved	Key wrapping using separate encryption and authentication processes	Deprecated
authentication method other than KW, KWP, CCM, or GCM	Key unwrapping using separate decryption and authenticity/integrity verification processes	Acceptable

- 706 TDEA (using TKW, as specified in [SP 800-38F]):
- The use of TDEA for key wrapping is **disallowed**.
- The use of TDEA for unwrapping is allowed for **legacy use**.
- KW and KWP (specified in [SP 800-38F]), CCM (specified in [SP 800-38C]), and GCM (specified in[SP 800-38D]):
- The use of KW, KWP, CCM, and GCM using AES for both key wrapping and unwrapping is
 acceptable.
- The combination of an **approved** encryption mode and an **approved** authentication methodother than KW, KWP, CCM, and GCM:
- The use of an approved encryption mode and an approved authentication method for key wrapping is deprecated until additional guidance is provided for using these combinations securely.
- 718 The **approved** AES encryption modes include:
- o The CBC, CFB, OFB, and CTR modes specified in [SP 800-38A].

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720	The authentication methods include:
721	 The CMAC mode specified in [SP 800-38B];
722	 The GMAC mode specified in [SP 800-38D];
723 724	 A digital signature scheme specified in [FIPS 186], [FIPS 204], [FIPS 205], or [SP 800-208] (see Sec. 3);
725	 HMAC, as specified in [SP 800-224]; and
726	 KMAC, as specified in [SP 800-185].

727 **11. Hash Functions**

A hash function produces a condensed representation of its input by taking an input of arbitrary length and outputting a value with a predetermined length. Hash functions are used in the generation and verification of digital signatures, key derivation, random number generation, computation of message authentication codes, and hash-only applications.

- 732 Several hash functions have been **approved** and specified:
- FIPS 180] specifies SHA-1 and the SHA-2 family of hash functions (i.e., SHA-224, SHA-256, SHA-384, SHA-512, SHA-512/224, and SHA-512/256). Information about the security strengths that can be provided by these hash functions is given in [SP 800-57].
- FIPS 202] specifies the SHA-3 family of hash functions (i.e., SHA3-224, SHA3-256, SHA3-384, and SHA3-512). Discussions about the SHA-3 hash functions are provided, and the security strengths that can be provided by these functions are given in [SP 800-57].
- [SP 800-185] specifies two SHA-3-derived hash functions (i.e., TupleHash and ParallelHash) that are based on the XOFs specified in [FIPS 202] and discusses their use and the security strengths that they can support.
- Table 13 provides the approval status of the hash functions.
- 743

Table 12. Approval status of hash functions

Hash Function	Use	Status
	Digital signature generation	Disallowed
SHA-1	Digital signature verification	Legacy use
0	Applying protection for non-digital-	Deprecated through 2030
	signature applications	Disallowed after 2030
	Processing already protected information	Acceptable through 2030
	using non-digital signature applications	Legacy use after 2030
SHA-224,	A such that a such a still such	Deprecated through 2030
SHA-512/224, and SHA3-	Applying protection	Disallowed after 2030
224		Acceptable through 2030
	Processing already-protected information	Legacy use after 2030
All other SHA-2 and SHA-	Acceptable for all hash function applications	
3 hash functions		
TupleHash and	Accor	stable
ParallelHash	Acceptable	

- 744 SHA-1:
- Digital signature generation:
- 746 SHA-1 is **disallowed** for digital signature generation.

747 • Digital signature verification: 748 When used for digital signature verification, SHA-1 is allowed for legacy use. 749 • Applying cryptographic protection for non-digital signature applications: 750 The use of SHA-1 is **deprecated** through December 31, 2030, for applying protection in non-digital signature applications and **disallowed** thereafter. 751 752 • Processing already protected information using SHA-1 for non-digital signature 753 applications: 754 The use of SHA-1 is **acceptable** for processing already-protected information through 755 December 31, 2030, and allowed for legacy use thereafter. 756 Hash functions with a 224-bit output (i.e., SHA-224, SHA-512/224, and SHA3-224): 757 • The use of 224-bit hash functions for applying cryptographic protection is deprecated 758 through December 31, 2030, and **disallowed** thereafter. 759 The use of 224-bit hash functions to process already protected information is **acceptable** through December 31, 2030, and allowed for legacy use thereafter. 760 761 All other SHA-2 and SHA-3 hash functions (i.e., SHA-256, SHA-384, SHA-512, SHA-512/256, SHA3-762 256, SHA3-384, and SHA3-512): The use of these hash functions is **acceptable** for all hash function applications. 763 764 TupleHash and ParallelHash: 765 The use of TupleHash and ParallelHash is acceptable for the purposes specified in [SP 800-766 185]. 767

768 12. Extendable-Output Functions (XOFs)

- 769 Like hash functions, XOFs operate on input of an arbitrary length. The output of an XOF can be
- extended to any desired length, whereas the output of a hash function is a predetermined fixed
- 771 length. Two XOFs are **approved** in [FIPS 202]: SHAKE128 and SHAKE256. [SP 800-185] provides
- approved uses for these XOFs. Table 14 provides the approval status of the XOFs.
- 773

Table 13. Approval status of eXtendable-Output Functions (XOFs)

XOF	Status	
SHAKE128	Accounterble	
SHAKE256	Ассертабіе	

- The use of SHAKE128 and SHAKE256 is **acceptable** when used as specified in **approved**
- 775 cryptographic applications.

777 13. Message Authentication Codes (MACs)

- A MAC is a cryptographic checksum on the data over which it is computed and is used to provide
- assurance of data integrity and source authentication. It is generated using a MAC algorithm and
- a cryptographic key. The MAC can provide assurance that the data has not been modified since
- the MAC was generated and that the MAC was computed by one of the parties sharing the key.
- The key **shall** be generated and/or established using an **approved** method (e.g., using an
- 783 **approved** RBG or key-establishment scheme).
- 784 Three types of message authentication code mechanisms are specified for use:
- [SP 800-224] specifies a keyed-hash message authentication code (HMAC) that uses a hash function.
- 787 2. [SP 800-38B] and [SP 800-38D]⁹ specify the CMAC and GMAC modes, respectively, for
 788 block ciphers (i.e., AES and TDEA).
- 7893. [SP 800-185] defines the KMAC algorithm that is based on the SHA-3 XOFs specified in790[FIPS 202].
- The security strength that can be supported by a given MAC algorithm depends on the primitive
 algorithm used (e.g., the hash function or block cipher) and the strength of the cryptographic
 key.¹⁰
- Table 15 provides the approval status and required key strengths for the MAC algorithms and theassociated cryptographic primitives, as appropriate.
- 796

Table 14. Approval status of MAC algorithms

MAC Algorithm	Cryptographic Primitive	Key Strengths (in bits)	Status
	SHA-1, SHA-2, SHA-3	< 112	Disallowed
LINAAC Concretion	SHA-1, SHA-224, SHA- 512/224, SHA3-224	≥ 112	Deprecated through 2030 Disallowed after 2030
HMAC Generation	All other SHA-2 and SHA3 hash functions	112 ≤ strength < 128	Acceptable through 2030 Disallowed after 2030
		≥ 128	Acceptable
	SHA-1, SHA-2, SHA-3	< 112	Legacy use
	SHA-1, SHA-224, SHA- 512/224, SHA3-224	≥ 112	Acceptable through 2030 Legacy use after 2030
HMAC Verification	All other SHA-2 and	112 ≤ strength < 128	Acceptable through 2030 Legacy use after 2030
	SHA3 hash functions	≥ 128 bits	Acceptable
CMAC Generation	Two-key TDEA	< 112	Disallowed

⁹ The CCM authenticated encryption mode specified in [SP 800-38C] also generates a MAC. However, the CCM mode cannot be used to generate a MAC without also performing encryption. The modes listed in this section are used only to generate a MAC.

¹⁰ The strength of the key is less than or equal to its length.

MAC Algorithm	Cryptographic Primitive	Key Strengths (in bits)	Status
	Three-key TDEA	112	Disallowed
	AES	128, 192, 256	Acceptable
CNAC Varification	TDEA	≤ 112	Legacy use
CIMAC VEHICATION	AES-128, AES-192 AES- 256,	128, 192, 256	Acceptable
GMAC Generation and Verification	AES-128, AES-192, 256	128, 192, 256	Acceptable
		< 112	Disallowed
KMAC Constaion	КМАС	$112 \leq strength <$	Acceptable through 2030
RIVIAC Generation		128	Disallowed after 2030
		≥ 128	Acceptable
	КМАС	< 112	Disallowed
KMAC Varification		$112 \leq strength <$	Acceptable through 2030
NIVIAC VEHICALIUN		128	Legacy use after 2030
		≥ 128	Acceptable

797 HMAC:

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- HMAC generation:
- 799 O HMAC generation using keys providing less than 112 bits of security strength is
 800 disallowed, regardless of the hash function used as the cryptographic primitive.
- 801 HMAC generation using SHA-1 or the 224-bit hash functions (i.e., SHA-224, SHA 802 512/224, or SHA3-224) and keys providing ≥ 112 bits of security strength is
 803 deprecated through December 31, 2030, and disallowed thereafter.
- 804
 •
 HMAC generation using all other hash functions (i.e., SHA-256, SHA-384, SHA-512, SHA-512/256, SHA3-256, SHA3-384, or SHA3-512):

 805
 SHA-512/256, SHA3-256, SHA3-384, or SHA3-512):
 - When using keys providing at least 112 bits of security strength but less than 128 bits of security strength, the use of these hash functions is acceptable through December 31, 2030, for HMAC generation and disallowed thereafter.
 - When using keys providing at least 128 bits of security strength, the use of these hash functions is acceptable for HMAC generation.
- HMAC Verification:
- 813oHMAC verification using keys with less than 112 bits of security strength is allowed814for legacy use.
- 815 \circ HMAC verification using SHA-1 or the 224-bit hash functions (i.e., SHA-224, SHA-816512/224, or SHA3-224) and keys providing \geq 112 bits of security strength is817acceptable through December 31, 2030, and allowed for legacy use thereafter.

818 819		0	HMAC verification using all other hash functions (i.e., SHA-256, SHA-384, SHA-512, SHA-512/256, SHA3-256, SHA3-384, or SHA3-512):
820 821 822 823			 When using keys providing at least 112 bits of security strength but less than 128 bits of security strength, the use of these hash functions is acceptable through December 31, 2030, for HMAC verification and allowed for legacy use thereafter.
824 825			 When using keys providing at least 128 bits of security strength, the use of these hash functions is acceptable for HMAC verification.
826	CMAC	:	
827	٠	CMAC	Generation:
828		0	The use of TDEA for CMAC generation is disallowed .
829		0	The use of AES-128, AES-192, or AES-256 for CMAC generation is acceptable .
830	٠	CMAC	Verification:
831		0	The use of TDEA for CMAC verification is allowed for legacy use .
832		0	The use of AES for CMAC verification is acceptable.
833	GMAC	Genera	ation and Verification:
834	٠	The us	se of GMAC for MAC generation is acceptable when using AES.
835	КМАС	:	
836	٠	КМАС	generation:
837 838		0	The use of KMAC for MAC generation using keys with less than 112 bits of security strength is disallowed .
839 840 841		0	The use of KMAC for MAC generation using keys with security strengths of at least 112 bits but less than 128 bits is acceptable through December 31, 2030, and disallowed thereafter.
842 843		0	The use of KMAC for MAC generation using keys with at least 128 bits of security strength is acceptable .
844	•	КМАС	verification:
845 846		0	The use of KMAC for MAC verification using keys with less than 112 bits of security strength is disallowed . ¹¹
847 848 849		0	The use of KMAC for MAC verification using keys with security strengths of at least 112 bits but less than 128 bits is acceptable through December 31, 2030, and allowed for legacy use thereafter.

¹¹ KMAC was initially approved after a security strength of 112 bits was required.

850 o The use of KMAC for MAC verification using keys with security strengths of at least
851 128 bits is acceptable.

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1052 Appendix A. Continued Use of AES

Grover's algorithm [Grover] allows a quantum computer to perform a brute-force key search using (approximately) the square root of the number of steps that would be required using a classical computer. This suggests that an attacker with access to a quantum computer might be able to attack a symmetric cipher with a key up to twice as long as could be attacked by an attacker with access to only classical computers. However, quantum computing hardware will likely be more expensive to build and use than classical hardware, and Grover's algorithm might not speed up brute-force key search as dramatically as suspected.

- 1060 In 1997, Zalka proved that in order to obtain the full quadratic speedup, all of the steps of Grover's algorithm must be performed in series [Zalka]. The advantage of Grover's algorithm will 1061 1062 be smaller in the real world, where attacks on cryptography use massively parallel processing. 1063 Taking this into account, it is quite likely that Grover's algorithm will provide less than the 1064 expected advantage in attacking AES. Furthermore, even if quantum computers become much 1065 less expensive than anticipated, the known difficulty of parallelizing Grover's algorithm suggests 1066 that AES will still be safe for a very long time. This, of course, assumes that no new cryptographic 1067 weaknesses with respect to classical or quantum cryptanalysis are found in AES.
- Based on this understanding, current applications can continue to use AES with key sizes of 128,
 192, or 256 bits. When NIST foresees the need for a transition of symmetric key algorithms, hash
 functions, key-establishment methods, or digital signature schemes to protect against threats
 from quantum computers, NIST and the CMVP will issue guidance regarding such transitions.

1073 Appendix B. Change History

- 1074 Revision 3 includes the following changes to SP 800-131A:
- 1075 1. The document has been reformatted using a revised template for NIST Special 1076 Publications (SPs).
- Section 1.2.2 expands the discussion on legacy use to include how users should consider
 the legacy-use status.
- Section 1.2.3 is a new section that discusses the strategy for transitioning from a 112-bit security strength to a 128-bit security strength for block ciphers and hash functions or continuing the acceptability of the 112-bit security strength until further PQC guidance is provided for digital signatures and key establishment.
- 1083
 4. In Sec. 2, the Skipjack algorithm has been removed, TDEA is disallowed for applying
 1084 cryptographic protection, and a subsection on the block cipher modes of operation has
 1085 been added.
- 10865. In Sec. 3, EdDSA (specified in [FIPS 186]), the new quantum-resistant digital signature1087algorithms (specified in [FIPS 204] and [FIPS 205]), and the stateful hash-based signature1088algorithms (specified in [SP 800-208]) have been added. DSA and RSA (as specified in1089[X9.31]) are now disallowed for generating digital signatures.
- 1090 6. Sections 4, 8, and 12 have been added to discuss key generation [SP 800-133], key 1091 encapsulation mechanisms [FIPS 203], and XOFs [FIPS 202].
- 10927. Section 5 has been augmented to include entropy sources [SP 800-90B] and RBG1093constructions [SP 800-90C]. The discussion of Dual_EC_DRBG RNGs has been removed.
- 10948. Sections 6 and 7 have been updated to show that DH, MQV, and RSA schemes that do not1095comply with [SP 800-56A] or [SP 800-56B] are only allowed for **legacy use**.
- Section 9 has added the one- and two-step key-derivation methods specified in [SP 800-1097
 S6C] and key derivation [SP 800-108]. HMAC using SHA-1 and the 224-bit hash functions has been deprecated.
- 10. In Sec. 10, key wrapping using TDEA is disallowed, and CCM and GCM have been added.
 The combination of an approved encryption mode and an approved authentication
 method for key wrapping has been deprecated.
- 1102 11. In Sec. 11, the use of SHA-1 and the 224-bit hash functions has been deprecated.
- 110312. In Sec. 13, the use of SHA-1 and the 224-bit hash functions for generating a MAC has been1104deprecated.
- 1105 13. The References section has been updated.
- 1106 14. Appendix A has been added to discuss the continued use of AES when quantum1107 computers become available.
- 1108 15. Appendix C includes a list of the acronyms used in this document.

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1109 16. Appendix D provides a glossary of terms.

1110	Appendix C. List of Symbols, Abbreviations, and Acronyms
1111	AES
1112	Advanced Encryption Standard
1113	CAVP
1114	Cryptographic Algorithm Validation Program

- 1115 смур
- 1116 Cryptographic Module Validation Program

1	1	1	7	DRBG	
-	-	-		DIGO	

- 1118 Deterministic Random Bit Generator
- 1119 FIPS
- 1120 Federal Information Processing Standards
- 1121 ITL
- 1122 Information Technology Laboratory
- 1123 мас
- 1124 Message Authentication Code
- 1125 **MQV**
- 1126 Menezes-Qu-Vanstone (algorithm)
- 1127 NIST
- 1128 National Institute of Standards and Technology
- 1129 **RBG**
- 1130 Random Bit Generator

1131 **SP**

1132 (NIST) Special Publication

1133 **TDEA**

1134 Triple Data Encryption Algorithm

1136 Appendix D. Glossary

1137 acceptable

- 1138 The algorithm and key length/strength in a FIPS or SP is approved for use in accordance with any associated
- 1139 guidance.

1140 apply cryptographic protection

- 1141 Depending on the algorithm, to encrypt or sign data, generate a hash function or message authentication code
- 1142 (MAC), or establish keys, including wrapping and deriving keys.

1143 approval status

1144 Used to designate usage by the U.S. Federal Government.

1145 approved

- 1146 FIPS-**approved** or NIST-recommended. An algorithm or technique that is either 1) specified in a FIPS or NIST
- recommendation or 2) adopted in a FIPS or NIST recommendation and specified in (a) an appendix to the FIPS or
 NIST recommendation or (b) a document referenced by a FIPS or NIST recommendation.

1149 deprecated

1150 The algorithm and key length may be used, but there is some security risk.

1151 disallowed

1152 The algorithm or key length is no longer allowed for applying cryptographic protection.

1153 entropy

1154 A measure of disorder, randomness, or variability in a closed system.

1155 hash(ing) method

1156 A hash function or extendable-output function.

1157 legacy use

- 1158 The algorithm or key length may only be used to process already protected information (e.g., decrypt ciphertext 1159 data or verify a digital signature).
- 1160 len(x)
- 1161 The length of *x* in bits.

1162 security strength

- 1163 A number associated with the amount of work (i.e., the number of operations) that is required to break a
- 1164 cryptographic algorithm or system. If 2^{N} execution operations of the algorithm (or system) are required to break
- the cryptographic algorithm, then the security strength is *N* bits. As used herein, security strength is a measure of
- the difficulty of subverting cryptographic protection (e.g., discovering the key) using classical computers.

1167 shall

1168 A requirement for Federal Government use. **Shall** may be coupled with **not** to become **shall not**.

1169 XOR(ing)

1170 Bit-wise exclusive-or. A mathematical operation that is defined as $0 \oplus 0 = 0$, $0 \oplus 1 = 1$, $1 \oplus 0 = 1$, and $1 \oplus 1 = 0$.